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PLASTIC CONCENTRATION FACTORS IN FLAT NOTCHED SPECIMENS OF AISI 4340 STEEL

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THEORETICAL & APPLIED MECHANICS RESEARCH LABORATORY



February 1970

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ARMY MATERIALS AND MECHANICS RESEARCH CENTER Watertown, Massachusetts 02172

AMMRC TR 70-2

PLASTIC CONCENTRATION FACTORS IN FLAT NOTCHED SPECIMENS OF AISI 4340 STEEL

Technical Report by

RALPH PAPIRNO

February 1970

D/A Project 1T061102B32A AMCMS Code 501B.11.855 Research in Materials Agency Accession No. DA OC4686

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ABSTRACT

Various authors have proposed methods for predicting the plastic behavior at the root of a notch under monotonic loading. Among these is a method by Neuber, which was originally developed for shear but which had been empirically applied, at Neuber's suggestion, to tension and compression loading. There has been only a limited confirmation of Neuber's method in tests of notched specimens. Additional confirmation is given in this report for a range of notch geometry.

The basis of the Neuber approach is the suggested rule that the geometric san of the stress and strain concentration factors, when the root of the notch is plastic, is given by the theoretical elastic concentration factor:

$$(K_{\sigma}K_{\varepsilon})^{1/2} = K_{t}.$$

The Neuber rule is evaluated using an appropriate analytic representation of the stress-strain curve of AISI 4340 steel and predictions of maximum notch strain versus nominal net section stress are developed. The theoretical results, when compared with test data from flat notched specimens of the same material with a range of initial elastic concentration factors, show agreement within 5%. It is shown that the limitations of the strain gages in measuring the notch root strains can account for a major part of the discrepancy.

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LIST OF SYMBOLS

```
A<sub>net</sub> = net section area, in.<sup>2</sup>
   a = exponent
   b - notch specimen half gross-width; b = w/2, in.
   C = general coefficient, strain-stress × strain power law
  C_p = coefficient; C = C_p in plastic region C_t = coefficient; C = C_t in transition region
   D = coefficient, strain-stress power law
    d = notch specimen net-section width, in.
   E = modulus of elasticity, psi
  K_{t} = theoretical elastic concentration factor
  K_{E}^{-} = strain concentration factor, elastic or plastic
   K_{\sigma} = stress concentration factor, elastic or plastic
   K_{\infty} = elastic concentration factor for a notched semi-infinite plate
    \ell = notch length, in.
    m = exponent; p = m in transition region
    n = exponent; p = n in plastic region
    P = applied load, 1b.
    p = general exponent, strain-stress × strain power law
    q = exponent, strain-stress power law
    r = notch root radius, in.
    t = specimen thickness, in.
    w = notch specimen gross width, in.
    \alpha = exponent
     \beta = exponent
     ε = strain, in./in.
    \epsilon_e = elastic strain, in./in.
   \varepsilon_n = maximum notch strain, in./in.
   \epsilon_0 = nominal net-section strain, in./in.
   εp = plastic strain, in./in. (See Eq 8)
   Epl = proportional limit strain, in./in.
    Et = transitional strain, in./in. (See Eq 7)
    Ey = 0.1% offset yield strain, in./in.
     o = siress, psi
    σe = elastic stress, psi
    \sigma_n = maximum notch stress, psi
    \sigma_0 = nominal net-section stress, psi; \sigma_0 = P/A<sub>net</sub>
    σ<sub>p</sub> = plastic stress, psi (See Eq 8)
    \sigma_t^r = transitional stress, psi (See Eq 7)
```

INTRODUCTION

Methods of predicting the plastic behavior in notches and other discontinuities under cyclic loading have been developed by adopting static prediction methods for low cycle fatigue. A study by Stowell, of the plastic concentration factors around a hole in a plate under static loading, was generalized by Hardrath and Ohman to include various other geometric discontinuities and then applied by Crews and Hardrath to cyclic loading. Kuhn and Figge, analogously building on earlier work of Neuber, were also able to arrive at a scheme for predicting the strength of notched parts under cyclic loading. As another example of this process, Wetzel, as a later formulation of Neuber on plastic concentration factors under monotonic loading, was able to develop a method for relating the conditions in a smooth specimen to those in a notched specimen under cyclic loading. The aforementioned examples are not meant to be an exhaustive survey of the field but have been presented as illustrative examples of a particular approach to cyclic, plastic behavior of elements with stress concentrations. These approaches have in common a particular sequence of analysis:

- a. Modification of the conventional, monotonic loading elastic concentration factor to take into account plastic behavior in the notch or other discontinuity.
- b. Experimental verification of the derived plastic concentration factor under monotonic loading conditions.
- c. Modification of the plastic concentration factor for cyclic loading to obtain fatigue concentration factors.
 - d. Experimental verification of the values of the fatigue reduction factors.

A crucial step in this described sequence is the development of a well-founded method of predicting plastic concentration factors under monotonic loading for later application to low cycle fatigue. In monotonic loading, the plastic concentration factor formulation is evaluated using the virgin stress-strain curve of the material. This formulation then becomes the basis for fatigue behavior predictions when the cyclic stress-strain curve is substituted for the virgin stress-strain curve to develop the analytic results.

The method developed by Neuber⁷ for predicting plastic concentration factors is attractive since it can easily be adapted to machine computation. Because it has had only limited experimental confirmation, the study described here was undertaken to assess its predictive value for monotonic loading, prior to applying the theory in low cycle fatigue. This report describes a combined analytical and experimental investigation with the following major objectives:

a. To refine the procedure of plastic concentration factor prediction for monotonic loading using an appropriate analytic representation of the virgin strem-strain curve of AISI 4340 steel.

To perform experiments on notched tension specimens of AISI 4340 steel with a range of initial elastic concentration factors for comparison with theoretical prediction of the plastic concentration factors resulting from monotonic loading.

NEUBER FORMULATION

The basis of Neuber's approach is the suggested rule that the geometric mean of the stress and strain concentration factors is the theoretical elastic concentration factor:

where $K_{\sigma} = \sigma_{n} \sigma_{0}$ and $k_{\varepsilon} = \epsilon_{n}/\epsilon_{0}$ for plane stress.

Although the original formulation of Neuber's rule was developed for monotonic loading in shear, Neuber has suggested and there has been some experimental evidence to show that it may also apply to tension or compression loading. Krempl⁸ presented plastic strain and stress concentration factors for notched specimens (nominal $K_t = 3$) of carbon steel, 2.5 Cr-1 Mo alloy steel, and type 304 stainless steel from which geometric means could be computed. The calculated discrepancy between the geometric mean of the plastic stress and strain concentration factors and the theoretical elastic concentration factor averaged less than approximately \pm 5%. These results shou'd not be considered conclusive since the precise values of K_t for each of the individual specimens was not reported and data points were taken from charts. Nevertheless, Krempl's results were sufficiently good to warrant further pursuit of the Neuber approach.

It is possible to rewrite Equation 1 by applying the definition of strain and stress concentration factors referred to the nominal net section stress and strain as

$$(\sigma_{\mathbf{n}} \epsilon_{\mathbf{n}} / \sigma_{\mathbf{0}} \epsilon_{\mathbf{0}})^{1/2} = K_{\mathbf{t}}$$
 (2)

OT

$$(\sigma_n \epsilon_n) K_t^2 = (\sigma_n \epsilon_n).$$
 (3)

The left-hand side of Equation 3 refers to the nominal net section stress and strain (subscript 0) while the right-hand side refers to conditions at the root of the notch (subscript n). In order to determine K or K from Equation 3, it is necessary that the equation be expressed in terms of stress for the former or in terms of strain for the latter. This is most easily done by the use of a power law of stress-strain behavior such as

$$\varepsilon = D\sigma^{q}$$
. (4)

It is shown later in this report that calculations are facilitated if Equation 4 is transformed into

$$\varepsilon = C(o\varepsilon)^p$$
 (5)

where $C = D^{[1(q + 1)]}$ and p = q/(q + 1).

In the next section of this report, the stress-strain properties of heattreated AISI 4340 steel are given in the form of Equation 5 by using a curvefitting procedure on test data.

ANALYTIC STRESS-STRAIN RELATIONS FOR AISI 4340 STEEL

A graph of a versus (ac) for heat treated AISI 4340 steel plotted on logarithmic coordinates reveals two linear regions in addition to the elastic region as shown schematically in the upper graph of Figure 1. The linear region between the proportional limit strain ϵ_{p1} and the 0.1% offset yield strain ϵ_{y} has been designated here as the transitional region and corresponds to the knee of the conventional stress-strain curve shown in the lower graph of Figure 1. The region where strains are in excess of this yield strain has been designated here as the plastic region.

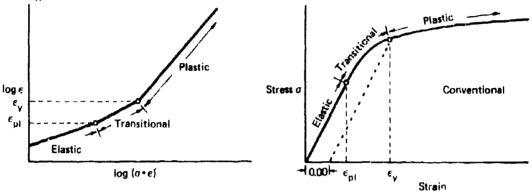


Figure 1. Log stress-log stress-strain and stress-strain curves for AISI 4340 steel (schematic)

All three regions can be represented by equations of the form given in (5):

Elastic
$$\epsilon_{\mathbf{e}} = (1/E)^{1/2} (\sigma_{\mathbf{e}} \epsilon_{\mathbf{e}})^{1/2}$$
 (6)

Transitional
$$\varepsilon_{t} = C_{t} \left(\sigma_{t} \varepsilon_{t}\right)^{m}$$
 (7)

Plastic
$$\varepsilon_{\rm p} = C_{\rm p} (\sigma_{\rm p} \varepsilon_{\rm p})^{\rm n}$$
. (8)

A typical log ϵ versus log $(\sigma\epsilon)$ plot for one heat of heat-treated AISI steel is shown in Figure 2. An automated data reduction procedure using a least-squares analysis was applied to obtain the coefficients and exponents shown in the figure. This procedure involved a number of steps: (1) autographic recording of the engineering stress-strain curve; (2) automatic analog to digital conversion of the data on punched tape; (3) tape to card conversion; (4) computer data reduction using a specially written program which included linear and logarithmic least-squares analyses.

NOTCH STRESS AND STRAIN ANALYSIS

Referring to Equation 3, it should first be noted that the σ_0 and ε_0 are nominal values referring to the net section. The net section conditions are defined as follows: $\sigma_0 = P/A_{net}$ and ε_0 is given by an equation of the form of Equation 5.

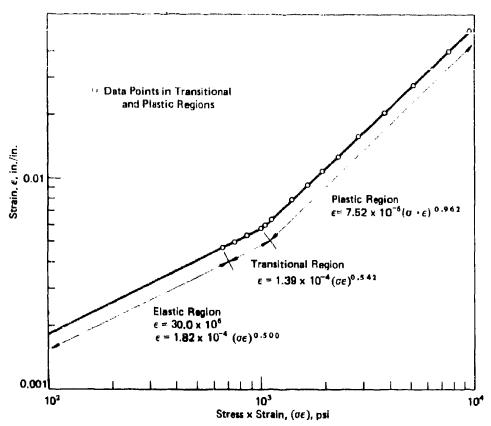


Figure 2. Log stress-long stress-strain data for one heat of heat treated AISI 4340 steel showing material constants for three regions

The net section strain conditions will depend upon whether the net section stress is elastic, transitional, or plastic. Referring to (3), it is possible to recognize six cases of net section (right-hand side) and notch (left-hand side) conditions for any given notch geometry as determined by the value of the elastic concentration factor K_t . These are enumerated below:

Case	Net Section	Notch
No.	Condition	Condition
1 2 3 4 5 6	Elastic Elastic Elastic Transitional Transitional Plastic	Elastic Transitional Plastic Transitional Plastic Plastic

A. Analytic Formulations

A given specimen with a predetermined value of the elastic concentration factor will progress through a number of cases as it is loaded monotonically to failure. The actual progression will depend on the magnitude of K_{t} and on the toughness of the test material. A particular specimen need not progress through \$\epsilon 11\$ the

cases as it is loaded to fracture. For example, a specimen with high $K_{\bar t}$ manufactured of a material with only moderate toughness may progress through cases 1, 2, and 3 only. With higher toughness the progress may be through 1, 2, 3, 5, and 6. Other combinations of toughness and $K_{\bar t}$ would lead to different progressions.

It is assumed in the following development that plane stress conditions in a flat motch specimen prevail and that no notch strengthening occurs:

Case 1: The elastic conditions of case 1 follow the familiar stress concentration factor relations where:

a)
$$K_{\sigma} = K_{\epsilon} = K_{t}$$
;

b)
$$\sigma_n = K_t \sigma_0$$
 and $\epsilon_n = K_t \epsilon_0$.

In the subsequent development of cases 2 through 6, the notch strain and stress values will be obtained by suitable substitutions of relations (6), (7), or (8), into (3). Then the appropriate plastic strain and stress concentration factors will be given. Each of the cases is considered separately below.

Case 2: Elastic Net Section - Transitional Notch
$$(\epsilon_0 < \epsilon_{p1}; \epsilon_n < \epsilon_y)$$

a) Notch strain: Since the nominal net section is elastic the right-hand side of (3) may be expressed in terms of strain and the elastic modulus:

$$\sigma_{\mathbf{n}} \epsilon_{\mathbf{n}} = (\epsilon_0^2 \mathbf{E}) \mathbf{K}_{\mathbf{t}}^2. \tag{9}$$

Now (9) can be combined with (7) and solved for $\boldsymbol{\epsilon}_n$

$$\varepsilon_{n} = C_{t} \left(\varepsilon_{0}^{2} K_{t}^{2} E \right)^{m}. \tag{10}$$

b) Notch Stress: The right-hand side of (3) may be expressed in terms of stress and the elastic modulus since:

$$\epsilon_0 = \sigma_0 / E \tag{11}$$

then

$$\sigma_{\mathbf{n}} \varepsilon_{\mathbf{n}} = (\sigma_{\mathbf{0}}^2 / \mathbf{E}) K_{\mathbf{t}}^2. \tag{12}$$

The right-hand side of (10) may also be expressed in terms of stress

$$\varepsilon_{\mathbf{n}} = C_{+} \left(\sigma_{0}^{2}/E\right)^{\mathbf{m}} K_{+}^{2\mathbf{m}}. \tag{13}$$

Now (13) is substituted into (12) and solved for σ_n :

$$\sigma_{\mathbf{n}} = (1/C_{\mathbf{t}}) (\sigma_0^2/E)^{(1-\mathbf{m})} K_{\mathbf{t}}^{(2-2\mathbf{m})}.$$
 (14)

c) Strain Concentration Factor:

By definition the strain concentration factor is given by

$$K_{\epsilon} = \epsilon_{n}/\epsilon_{0}. \tag{15}$$

Substitution of (10) into (15) results in

$$K_{\varepsilon} = C_{t} (K_{t}^{2} E)^{m} \varepsilon_{0}^{(2m-1)}. \tag{16}$$

d) Stress Concontration Factor:

Analogously to (15) the stress concentration form is

$$K_{\sigma} = \sigma_{\mathbf{n}} / \sigma_{\mathbf{0}}. \tag{17}$$

Substitution of (14) into (17) results in

$$K_{\sigma} = (1/C_{t})(K_{t}^{2}/E)^{(1-m)}(\sigma_{0})^{(1-2m)}$$
 (18)

Case 3: Elastic Net Section - Plastic Notch $(\varepsilon_n^{<\varepsilon_{pl}}; \varepsilon_n^{>\varepsilon_{y}})$

This case is directly analagous to case 2; however, the material properties for the notch are described by (8). The equations can be written by inspection using (10), (14), (16), and (18) as guides.

a) Notch strain (analogous to (10)):

$$\varepsilon_{n} = C_{p} \left(\varepsilon_{0}^{2} K_{t}^{E}\right)^{n}. \tag{19}$$

b) Notch Stress (analogous to (14)):

$$\sigma_{n} = (1/C_{p}) (\sigma_{0}^{2}/E)^{(1-n)} K_{t}^{(2-2n)}.$$
 (20)

c) Strain Concentration Factor (analogous to (16)):

$$K_{\varepsilon} = C_{\mathbf{p}} (K_{\mathbf{t}}^{2} E)^{(\mathbf{n})} \varepsilon_{0}^{(2\mathbf{n}-1)}$$
(21)

d) Stress Concentration Factor (analogous to (18)):

$$K_{E} = (1/C_{p}) (K_{t}^{2}/E)^{(1-n)} (\sigma_{0})^{(1-2n)}.$$
 (22)

Case 4: Transitional Net Section - Transitional Notch $(\epsilon_{p1}^{<\epsilon_0} < \epsilon_n^{\leq \epsilon_y})$

a) Notch Strain: This is quite simply obtained by substitution of (7) into both sides of (3), resulting in

$$(\epsilon_{\rm n}/C_{\rm t})^{1/{\rm m}} = K_{\rm t}^2 (\epsilon_{\rm 0}/C_{\rm C})^{1/{\rm m}} \tag{23}$$

which can be reduced to

$$\epsilon_n = k_t^{2m} \epsilon_0.$$
 (24)

b) Notch Stress: Substitutions of (24) into (3) results in an expression for notch stress:

$$\sigma_n = K_t^{2-2m} \sigma_0. \tag{25}$$

c) Strain Concentration Factor: This is obtained directly from (24):

$$K_{r} = K_{t}^{2m}$$
. (26)

d) Stress Concentration Factor: This is obtained directly from (25):

$$K_{\sigma} = K_{t}^{2-2m}$$
. (27)

Case 5: Transitional Net Section - Plastic Notch $(\epsilon_{p1}^{<\epsilon_0 \le \epsilon_y}; \epsilon_y < \epsilon_n)$

a) Notch Strain: Determination of notch strain in this case is made by substitution of (7) into the right-hand side of (3) and (8) into the left-hand side. The result, after simplification is:

$$\varepsilon_n \approx K_t^{2n} C_p (\varepsilon_0 / C_t)^{n/m}$$
(28)

b) Notch Stress:

By suitable algebraic manipulation of (7) and (8) and subsequent substitution into (28), the strain factors can be transformed to stress with the following result:

$$\sigma_{\mathbf{n}} = K_{\mathbf{t}}^{2-\mathbf{n}} (1/C_{\mathbf{p}}) C_{\mathbf{t}}^{\alpha} \sigma_{\mathbf{0}}^{\alpha}$$
 (29)

where $\alpha = (1-n)/(1-m)$.

c) Strain Concentration Factor: Substitution of (28) into (15) results in

$$K_{\epsilon} = K_{t}^{2} {n \choose p} (1/C_{t})^{n/m} {n-m/m \choose \epsilon_{0}} .$$
 (30)

d) Stress Concentration Factor: Substitution of (29) into (17) results in

$$K_{\sigma} = K_{t}^{(2-2n)} (1/C_{p}) (C_{t}^{\alpha}) \sigma_{0}^{(\alpha-1)}$$
 (31)

Case 6: Plastic Net Section - Plastic Notch $(\epsilon_y^{<\epsilon_0^{<\epsilon_n}})$

This case is directly analogous to case 4 and the various relations can be written by inspection using the appropriate material constants for the plastic range.

a) Notch Strain:

也是在我的人,我们就是我们的一种,只是不是我们的时候就可以把握我的人,我们就是不是一种的,我们也是是这种是是是是这种的,也是是是是是是是是是是是是是是是是是是是

$$\epsilon_{\mathbf{n}} = \mathbf{K}_{\mathbf{t}}^{2\mathbf{n}} \ \epsilon_{\mathbf{0}}.$$
(32)

b) Notch Stress:

$$\sigma_{\mathbf{n}} = \mathbf{K}_{\mathbf{t}}^{2-2\mathbf{n}} \sigma_{\mathbf{0}}. \tag{33}$$

c) Strain Concentration Factor:

$$K = K_+^{2n}. \tag{34}$$

d) Stress Concentration Factor:

$$K_{\sigma} = K_{t}^{2-2n}$$
. (35)

B. Calculation of Theoretical Results

Laborious computations are required to evaluate the stress and strain history of a particular specimen as it is monotonically loaded and progresses through the various cases. A computer program was developed to perform the calculations for any specimens of a given K_t value, which properly discriminates the correct progression through the various cases and eliminates those which are unnecessary. The program yields the notch stress and strain values as a function of net section stress and strain, the plastic concentration factors, and the required loads for a given net section area. A listing of the program and a typical printout are given in Appendix A.

As an added convenience, a program for computing the elastic concentration factor K_t can also be developed and combined with the plastic program. Such a program for a flat tension specimen with semicircular notch ends was developed using separate formulations for deep and shallow notches given subsequently in this paper in (43-46). The combined program is also given in Appendix A.

In the experiments, described in the next section of this report, notched tension specimens were loaded and an autographic record of net section stress versus maximum notch strain was obtained. The theoretical values of the two parameters were obtained from the computer program directly without the necessity of using an explicit relation between notch strain and net section stress of the form:

$$\sigma_0 = f(\epsilon_n). \tag{36}$$

For completeness, however, the explicit relations between net section stress and notch strain have been developed for each of the six cases and they are listed below in (37-42).

Case 1:
$$\sigma_0 = (E/K_t) \epsilon_n$$
. (37)

Case 2:
$$\sigma_0 = E^{1/2} (\epsilon_n / C_t K_t)^{1/2m}$$
 for $\epsilon_0^{\leq} \epsilon_{p1} < \epsilon_n < \epsilon_y$. (38)

Case 5:
$$\sigma_0 = E^{1/2} (\epsilon_n / C_p K_t)^{1/2\pi}$$
 for $\epsilon_0^{5} \epsilon_{p1} \epsilon_n^{5} \epsilon_y$ (39)

Case 4:
$$\sigma_0 = (1/K_t^{(2-2m)}C_t^{1/m})\epsilon_n^{(1-m)/m}$$
 for $\epsilon_{p1}^{<\epsilon_0 < \epsilon_0 < \epsilon_n < \epsilon_y}$. (40)

Case 5:
$$\sigma_0 = (\epsilon_n / K_t^{2n} C_p C_t^{\beta})^{(1-m)/m}$$
 for $\epsilon_{p1} < \epsilon_0 \le \epsilon_y < \epsilon_n$ (41)

where
$$\beta = [1-n(1-m)]/m(1-m)$$

Case 6:
$$\sigma_0 = (1/K_t^{(2-2n)})(\varepsilon^{(1-n)}/C_p)^{1/n}$$
 for $\varepsilon_y < \varepsilon_0 < \varepsilon_n$ (42)

EXPERIMENTAL PROCEDURE

Externally notched flat tension specimens with the notch configurations shown in Figure 3 were fabricated from two lots of AISI 4340 steel plate. These were

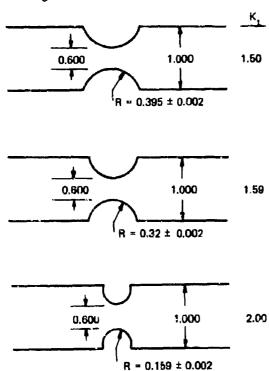


Figure 3. Notch config rations and notch dimensions of 0.1-inch thick flat notched specimens.

given identical heat treatments before specimen fabrication. Electrical resistance strain gages were installed at the roots of the notches and the specimens were monotonically loaded to fracture. nominal net section stress (converted from applied load) and the notch maximum strain were autographically recorded up to approximately 2% strain. Monotonic loading was continued until fracture and the fracture load was recorded. Stress-strain properties were obtained from standard flat tension specimens using strain gages and clip-on extensometers. The stress-strain data were recorded autographically and the autographic records were analyzed using an automated data reduction process. The details of the procedure are described in this section; comparison of the experimental results with the theoretical predictions is given in the next section.

A. Material

Material properties specimens and notched specimens were fabricated from two separate heats of AISI 4340 steel plate, received in the annealed condition. Lot No. 1, used for one notched specimen and

one smooth tension specimen, was received as 0.5-inch-thick plate while Lot No. 2 was received as 0.75-inch-thick plate. The chemical analyses of both lots are given below together with the heat treatment details:

Chemical Analyses (wt %)

Lot No. i,

C Mn P S Si Ni Cr Mo Fe 0.40 0.74 0.003 0.004 0.22 1.88 0.87 0.25 Remainder

Heat 3931362 Lot No. 2, 0.39 0.80 0.005 0.006 0.23 1.77 0.78 0.26 Remainder Heat 3830298

Heat Treatment (Applied Mechanics Research Laboratory Designation: A-16)

Austenitize at 2300 F, 1 Hr; Furnace Cool to 1550 F Oil Quench to R. T.; Hold 15 min.

Double Normalize in Salt at 1650 F, 1 hr; Air Cool Reaustenitize in Salt at 1550 F, 1 hr

Oil Quench to R.T.; Hold 15 min.

Quench to Liquid Nitrogen Temperature

Temper in Salt at 920 F, 1 hr

Water Quench to R.T.

The as-received material was cut into blanks from which one or more specimens could later be prepared and heat treated in its full thickness. The thickness was then reduced to 0.10 inch for specimen preparation.

B. Stress-Strain Tests

Standard flat + tension specimens (2-inch gage length, 0.50-inch wide, and 0.10-inch thick) were tested to obtain stress-strain properties of the heat treated material. These were loaded in a Tinius Olsen hydraulic testing machine and strains were measured either by electrical resistance strain gages or by a clip-on extensometer. The data were recorded autographically on a X-Y recorder whose axes were calibrated for each specimen to read strain and stress directly (rather than load and extension). The resulting stress-strain data were automatically converted to digital form and subsequently were analyzed by computer using least-squares analyses: Linear, in the elastic region to obtain E; and linear-logarithmic in the nonelastic regions to obtain the material constants and exponents required for the experimental approximation of the stress-strain properties.

C. Notch Specimen Preparation and Testing

For the design of the notched specimen shown in Figure 3 with K_t values of 1.5, 1.59, and 2.00, the following relations, empirically derived by Heywood, 9 were employed:

$$K_{t} = [(\ell/r)/(1.55[w/d]-1.3)]^{a}$$
 (43)

where

$$a = \left[\frac{u}{d} - \frac{1}{2} + \frac{0.5(\frac{q}{r})^{1/2}}{\left[\frac{(w/d)}{r} - \frac{1}{2} + \frac{(\ell/r)^{1/2}}{r} \right]}$$
 (44)

(See Figure 4 for identification of notch parameters.)

These relations were incorporated into a computer program. In the interest of completeness, a formulation for specimens where $K_t>2$ was also included in the program. Baratta and Neal¹⁰ using a prior formulation of Bowie¹¹ showed that the following relations are appropriate for deeper U notches:

$$K_{t} = [1 + 0.182(x/b) - 1.071(x/b)^{2} + 1.727(x/b)^{3}][1 - (x/b)]K_{\omega}$$
 (45)

where

$$K_m = 0.775 + 2.243(9/r)^{1/2}$$
 (46)

After manufacture, the specimens were carefully measured and the actual stress concentration factors for the notches were re-evaluated using the appropriate formulas. Because of manufacturing tolerances the values of K_{t} computed from actual dimensions could depart from the nominal values by several percent.

The basic notch specimen design is shown in Figure 5 for one notch configuration. This basic design was used for all the notches shown in Figure 3. Specimen blanks were cut from the as-received material, heat treated, and then reduced in thickness to 0.10-inch by machining equal amounts of material from each surface. The various holes and contours were machined into the final thickness blank.

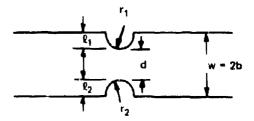


Figure 4. Identification of notch parameters

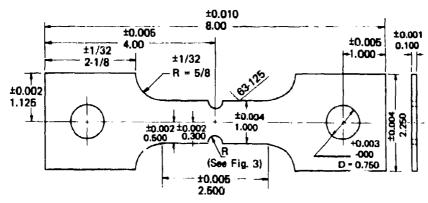


Figure 5. Notch specimen design and dimensions. Notch configuration dimension given in Figure 3.

Strain gages, BLH Type FAP-03-12, 0.040 inch long and 0.05-inch wide, were cemented at the notch roots, one in each notch in individual specimens. These were electrically connected in series so that bending components of strain were eliminated and so that the longitudinal strain reading obtained was the average for the two notches in each specimen. The specimen gages formed one arm of a Wheatstone bridge with compensating gages on a dummy specimen forming an opposite arm. The remainder of the bridge consisted of precision resistors. The unbalance bridge voltage was recorded on a Hewlett-Packard X-Y recorder calibrated to read 0.001-in./in. strain per one-half inch of pen displacement along the recorder X-axis.

The bridge energizing voltage was held to approximately two volts. This limited the power dissipation of the gages to less than 5 watts/sq in., a sufficiently small value so that excessive heating of the specimen in the notch root was avoided.

Loads were recorded on the Y-axis of the recorder. By taking the specimen area into account it was possible to calibrate the recorder to read net section stress directly on a scale where 10 ksi was the equivalent of one-half inch of pen displacement. The resulting autographic recording showed net section stress as a function of notch strain.

EXPERIMENTAL AND THEORETICAL RESULTS

In a test of a notched specimen it is possible to measure the maximum strain at the root of the notch and to determine the net section stress from the net section area and the applied load. Theoretical values of these two parameters can also be developed. It is assumed that a comparison of the theoretical and experimental values of the two parameters will constitute a valid test of Neuber's hypothesis although the hypothesis itself is stated in slightly different terms.

A. Mechanical Property Data

The reduced material property data for the two separate heats of material used for specimen manufacture are given in Table I. The constants given for transition and plastic regions of the stress-strain curve are those applicable to Equations 7 and 8.

Table 1. STRESS-STRAIN DATA FOR TWO HEATS OF HEAT-TREATED AISI 4340 STEEL OBTAINED FROM A LEAST-SQUARES, CURVE-FITTING ANALYSIS

Elastic Heat Modulus,		€ _{p1}	$\epsilon_{\mathbf{y}}$	Transition	-Region	Plastic-R	egion
No.	10 ⁶ psi	*	*	c_t	m	$c_{\mathbf{p}}$	n
1	30.0	0.47		1.39x10 ⁻⁴		7.52x10 ⁻⁶	
2	29.6	0.53	0.65	0.65x10 ⁻⁴	0.655	7.50x10 ⁻⁶	0.962

The values of ϵ_{p1} and ϵ_y were calculated from the fitted curves. The proportional limit strain ϵ_{p1} has been taken at the intersection of the elastic line with the curve representing the transition region. The yield strain value, ϵ_y , represents the intersection of the transition region curve with the plastic region curve.

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$$(K_{\sigma}K_{\varepsilon})^{N} = K_{1}$$

The Neuber rule is evaluated using an appropriate analytic representation of the stress-strain curve of AISI 4340 street and predictions of maximum notch strain versus inormal net section stress are developed. The theoretical results, when compared with test data from rist notched specimens of It is shown that the limitations of the strain gages in measuring the notch root strains can account the same material with a range of initial elastic concentration factors, show agreement within 5%. for a major part of the discrepancy

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 $(K_{\sigma}K_{\varepsilon})^{N_{\sigma}}=K_{\Gamma}$

The Neuber rule is evaluated using an appropriate analytic representation of the stress-strain curve of AISI 4340 steel and predictions of maximum notch strain versus normals net section stress are developed. The theoretical results, when compared with test data from flat notched specimens of the same material with a range of initial electic concentration factors, show agreement within 5%. It is shown that the invariation of the strain gages in measuring the notch root strains can account for a major part of the discrepancy.

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 $(K_{\mathbf{O}}K_{\mathbf{E}})^{5}=K_{\mathbf{I}}$

The Neuber rule is evaluated using an appropriate analytic representation of the stress-strain curve of AISI 4340 steel and predictions of maximum notch strain versus nominal net section stress are developed. The theoretical results, when compared with test data from flat notched specimens of the same material with a range of unital elastic concentration factors, show agreement within 5%, it is shown that the limitations of the strain gages in measuring the notch root strains can account for a major peri of the discrepancy.

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 $(K_{\mathcal{O}}K_{\mathcal{E}})^{\frac{1}{N}}=K_{\mathcal{E}}$

The Neuber rule is evaluated using an appropriate analytic representation of the stress-strain curve of AISI 4340 steel and predictions of maximum notch strain versus normal net section stress are developed. The theoretical results, when compared with test data from flat notched spectriens of the same material with a range of initial elastic concentration factors, show agreement within 5% it is shown that the immateriory of the strain gages in measuring the notch root strains can account for a mojor part of the discrepancy.

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B. Notch Specimen Data

Experimental and theoretical net section stress and notch strain data for a typical specimen are given in Figure 6. The figure shows fairly good agreement between experiment and theory up to approximately 1.4% strain which is typical for all specimens tested. A summary of the comparison between experiment and theory for all the tests is given in Table II below. The agreement in the elastic region was within two percent.

In each case the experimental values of notch stress for a given notch strain were greater than the theoretically predicted values. Beyond the indicated strain limit values shown in the table there was a much larger discrepancy which is interpreted as an effect of multiaxial stress and resulting notch strengthening. It is not altogether clear whether the

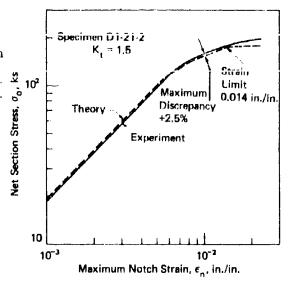


Figure 6. Typical experimental and theoretical data for $K_{\bullet} \equiv 1.5$

discrepancies shown in Table II are also a result of multiaxial stress effects or result from inevitable variations in heat treating in the separate batches which were used in the program. With the exception of one specimen, the theory appears to be conservative by approximately 5% up to about 1.5% notch strain. However, certain possible errors, discussed below, could account for the discrepancy.

C. Experimental Accuracy

Load errors (and hence net section stress errors) were neglible since the testing machine was calibrated just prior to the testing program using proving rings whose own calibration was traceable to the Bureau of Standards.

Table II. COMPARISON BETWEEN EXPERIMENTAL AND PREDICTED NET SECTION STRESS-NOTCH STRAIN DATA

Specimen No.	Heat No.	Kt	Discrepancy Exp. vs Theory	Strain Limit in./in.
A-1-1	1	1.59	<1%	0.0200
D1-21-4	2	1.50	+4.0%	0.0140
D1-21-2	2	1.50	+2.5%	0.0140
D13-12-9	2	1.50	+4.8%	0.0140
D1-31-1	2	2.00	+6.5%	0.0140
D13-13-5	2	2.0	+5.5%	0.0160
D13-13-9	2	2.0	+4.5%	0.015

Major sources of error in the strain measurements resulted from the following:

- 1. The manufacturer's stated ±3% uncertainty in the value of the gage factor.
- 2. The presence of a strain gradient at the notch root with the maximum strain value confined in an area smaller than that of the strain gage.
- 3. An estimated possible ± 0.01 inch deviation of the position of the center line of the gage from the center of the north root.

The magnitude of the latter two sources of error is not known, however, both would tend to produce strain readings which were less than the actual maximum strain in the notch.

Some uncertainty in the results arises from the fact that it was not possible to heat treat the stress-strain specimens and the notch specimens all in the same batch because of the limited capacity of the heat-treating facilities. Tests indicated that there could be a variation in the computed notch strains of ±1% based upon scatter of the material properties.

CONCLUSIONS

The major conclusions of this study are as follows:

- 1. Predictions of plastic notch strain and notch stress can be developed using Neuber's rule and an analytic representation of the stress-strain curve in three regions: elastic, transitional, and plastic.
- 2. Theoretical predictions of net section stress versus plastic notch maximum strain are within 5%, on the average, of experimentally observed values for notched specimens of heat-treated AISI 4340 steel up to a maximum notch strain value of approximately 0.015 in./in.

ACKNOWLEDGMENTS

A number of staff members of the Theoretical and Applied Mechanics Research Laboratory contributed to the investigation described herein. Mr. John Campo performed the majority of the laboratory tests; Mr. John Hannon delicately applied the strain gages in the notch roots and contributed to the development of the automated data analysis program; Mr. Joseph Wong, a summer student aide, assisted with the computer programming and with the calculations. I am grateful to all who contributed and am happy to acknowledge their contributions.

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ADDENDIY A - COMPUTER PROGRAMS

The programs are written as Fortran I

1. Program No. 1. Plastic stress and strain in notched tension specimens with a given value of the elastic concentration factor and given material properties. The input data required are given below:

Parameter	Fortran Designation
Elastic Concentration Factor, Kt	x
Modulus of Elasticity, E, psi	E
Transitional Exponent, m	Q1
Plastic Exponent, n	Q2
Transitional Coefficient, Ct	C1
Plastic Coefficient, Cp	C2
Proportional Limit Strain, Epl, percent	EPL
0.1% Offset Yield Strain, ey, percent	EA
Program Cut-Off Notch Strain, percent	EMAX
Specimen Net-Section Area, sq in.	AREA

Specimen number is entered using up to 11 alphanumeric characters.

A listing of the program is given on page 17.

- 2. Typical Output of Program No. 1. A typical output run of Program No. 1 for a specimen of AISI 4340 steel with and elastic concentration factor, $K_t=1.5$, is given on page 19.
- 3. Program No. 2. Elastic concentration factor and plastic stress and strain in notched tension specimens with given notch dimensions and given material properties.

This program combines a program for computing the elastic concentration factor using either of two methods (Heywood method for shallow semicircular notches or Bowie method for deep semicircular notches) with Program No. 1.

In addition to the material property parameters listed as input for Program No. 1, the following input data are required for the combined program:

Parameter	Fortran Designation
Specimen Width, w, in.	W
Length of Notch No. 1, 1, in.	A1
Length of Notch No. 2, 2, in.	A2
Radius of Notch No. 1, r1, in.	R1
Radius of Notch No. 2, r2, in.	R2
Specimen Thickness, t, in.	T

The method of computation is designated by the entry of NN in the 4th statement of the program lists given on page 20. For NN = 00, the Heywood method is used; for NN = 01, the Bowie method is used. The words "Heywood" or "Bowie" are entered in the same statement. The specimen amber is entered as described previously for Program No. 1.

80 COLUMN PRINTOUT OF PROGRAM NO. 1

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1 READ 777.11EST.P1.02.293.P4.P5.P6.P7.P8.P9.P10.P11
17.11.EST. 900.900.2
2 PRINT 778. P1.P2.P3.P4.P5.P6.P7.P8.P9.P10.P11
READ 889.G1.G2.C1.C2.EPL.EY.EMAX.F
RIAD 101. X. AREA
PRINT 102.C2.P1.LET.HMAX
PRINT 103.C1.Q1.C2.Q2
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PRINT 16
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E=E0.20.F061
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SNP=SN/1000-
ENP=EN=100-
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SO-SO+ 10000 -

GO TC 17

PRINT 302

SO-EO-E

EN-C1*((X**2)*(EO**2)*E)**Q1
  300
      301
                        EN=C1*((X**2)*(EO**2)*E)

)*{EN-EY} 19, 19,400

SN=(X**2)*(EO**2)*E/EN

XS=SN/SO

XE=EN/EO

P=SO#AREA
      19
                        SOP=SO/1000.
EOP=EOP100.
SNP=SN/1000.
ENP=ENP100.
                        ENPSENDIOD.
PRINT 22:-P.EOP.SOP.ENP.SNP.XS.XE
EOSEO+ 0.0001
IF(EO-EPL) 301.301.500
PRINT 402
SOSEOSE
ENSC20((XXEO)602)RE)002
IF(EN-EMAX) 20.201
SN=((XXEO)802)0E/EN
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401
       26
                        XS*SM/SO

XE*EM/EO

P#50#AREA

SOP*SO/1000.

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                        EMP=EM-1000

EMP=EM-100.

PRINT 222-P-EOP-SOP-EMP-SHP-XS-XE

EO-EO-0.0001
                        E0=0040.0001

FF(E0-CPL) 401,401,600

PRINT 502

50=(1E0/C1)==(1./01);/E0

EN=E0=x==(2.=01)

FF(EN=EY) 21,21,600

SN=((E0=50)=x==2)/EN
   500
501
          21
                         XS-SM/SO
XE-EN/EO
P-SOFAREA
                          SOP-SO/1000.
                        SNP=SN/1000.
ENP-ER-100.
                         PRINT 222+P+EOP+SOP+ENP+SNP+XS+XE
                          IF(EO-EY) 501,501,700
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600
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                                           PRINT 602
EN=C2=EXE=[2.692])=[E0/C1]==[Q2/Q];
IF (E'4=EMAX) 22:22:1
50=(E0/C1)==[1:/G1])/C0
5N=((E0/SO)=X==7)/EN
X==K/SO
             601
                                             KE-EN/EU
                                             P=50#AREA
SOP=50/1000.
                                             EMP - EM - 100 .
EOP - EO - 100 .
                                            SHP-SN/1000.
PRINT 222-P-EOP-SOP-E-MP-SMP-X5-XE
EO-EO-0.0062
1F1EO-EY1601-601-700
                                            PRINT 702
EN=ECOXOF (2, WQ2)
IF(EN-EKAX) 23-23,1
SOW((EO/C2)0#(1, VQ2))/EO
SNW,(EO/SO)0X002)/EN
               23
                                            XS=SM/50
XE=EM/EO
P=50#APEA
                                              500+50/1000.
                                              EOP-E 0-100.
SNP-SN/1000.
                                             EMP-EMP100.

PRINT 222-P.EOP.SOV.ENP.SNP.XS.XE

EO=EO+0.0002

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		HSETEONAL -				
9390.4471	.53167	156.5	1.05496	1 77.5	1.1344	1.9635
9574.8655	.55162	159.6	1-11374	177.9	1.1199	2.0181
9756.1757 9914.5081	.57162	167.6 155.6	1.11302	178.3	1.0964	2.0521 2.0854
10110.0006	.61167	168.5	1.29553	178.6 179.0 179.3	1 - 05 22	7-1167
10282.0129		171.4	1.35822	179.1	1.0963	2.1504
		511C- PL #51				
10451.5553	.651F7 .67[62	174.2	1.42166) 79, F 79, 9	1.0313	2-1817
10476.1744	. 59167	174.6	1.30843	140.1	1.0313	2-1017
10487.9629	.71162	174.A 175.D	1.59756	180.5	1.0313	2.1417 2.1417
10510.6634	. 75162	175.2	1.43983	160.7	1.0113	2-1817
10521.5/24	.77162	175.4	1.64346	140.A 141.0	1.0313	2-1817 2-1817
10547.5985	-81162	175.7	1.77773	181.7	1.0313	2-1817
10567.6572	.#3162 .#5162	175.9 176.P	1.45800	181.4	1.0313	2.1817
10572.3414	.67162	176 . 7	1.90164	101.7	1 - 03 13	2.1617
19591-0961	. 91162	174.5	1.94427 1.94841 2.03754	182.0	1.6313	2.1617
10600.1793	-93162 -95162	176.7	2.03254 2.07617	142.9	1.0311	2.1817
19617.7971	. 97162		2-11901			2-1417
10636.3960	.99162	177.1	2-16344 2-2070A	102.6 102.6	1.0313	2.1417 2.1417
10642.9581	1.03162	137.4	2-25071	107.7	1.0:13	2-1417
10651.0337	1.05162	177.5	2.29×35 2.33798	103.1	1.0715	2-1417 2-1417
10666-7513	1-09162	177.5	2.30167	183.3	1.0113	2.1817
10681-9254	1.13162	178.0	2.46848	183.5	1.0313	2.1817

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80 COLUMN PRINTOUT OF PROGRAM NO. 2
                               #E4N 777.17E57.P1.P2.P3.P4.P5.P4.P7.P4.P4.P4.P10.P11
                               TF1T 375T ) 999-999-2
PRINT 77F, PI-P2-P3-P4-P5-P4-P7-P8-P9-P10-P11
REST RES-NT-72-73-74-75-76-77-78-29-710
    7
                             #ERT RME-MM-22-73-73-75-76-77-78-29-73
PPINT 9J0-22-23-74-23-75-26-77-78-29-73
#ERD 889-11-92-61-62-6PL-6V-FMAX-F
BIM/2-ABJ:AL/B
ABJ:AL/B
ABJ:AL/B
ABJ:AL/B
ABJ:AL/B
ABJ:AL/B
ABJ:AL/B
                          01:18-418+2.
02:18-42142.
                                UAS:03\A
GA1:01\A
                                T:0-41-47
JEINNIG-647
                                VI:11; -Du1-0.5-Du1-Sert(AP)))/(1,-Du1-Du1-Sert(AP))
N:1:-(Du1-A)//1:55-[-]-Du1))--U[
U2:1:-Du7-0.5-Du2-Sert(AP2))/(1,-Du2-Du2-Sert(AP2))
E2:1:-(Du7-4-A2//1:55-1-]-Du7))--U2
                                 GO TO 4
MINE: 0.78 + 2.243+50PT(API)
                                #INF: U.TR + 2.243658FTIAM19
#1:41.+0.18268B1-07688100201.72704810039411.-A81)0X1MF
#INF2: 0.78 02.243658FTIAF29
#2: 11.+0.182682-1.07688204201.7270882003041.-A82)0#INF2
#2: 11.+0.182682-1.07688204201.7270882003041.-
                                 PRINT 14
PRINT 14
PRINT 12
PRINT 12
PRINT 12
PRINT 13
PRINT 13
PRINT 14
                                 PRINT 15
                           PRINT 202
50:20000.
                                 f:E+110.++61
Fn:50/F
                                   EN: # 4EU
1F(PN-FPL) 18+1#+300
                                   SN: 1450
P:504ARF4
        1.0
                                   40P:40/17DJ.
FOF:EQ.17D.
                                   SND SMALECO.

FNP:EN+1CO.

FNP:EN+1CO.

FO:SO: 10000.

GO TO 3?

PKINT 307
                                   50:f0eE
fu:Claf(40-2)+(E0+2)+E0+2)+E)++61
fffFN-FY) 19-19-400
58:(40-2)+(E0+2)+F/EM
    101
                                    #5: 54/50
#: T4/E0
                                    P: 50+AREA
50P: 5071700.
EDP: FD+370.
                                      SMP = SM / E OF O.
                                    3MF.FM.4100.
PRINT 327.P.COP.SOP.FMP.SMP.XS.XE
E0=E0- 0.DU01
IF(E0=E4), 308:301.500
PRINT 407
400
                                    SO: F8-E

[N: C2-| [(Y-E0)--2)-E)--82

IF (EN-EKAY) 20-20-1

SM: ((Y-EKAY) 20-20-1
    40
                                    15 - 4M/ 10
HE - EN/EO
                                    P: 50 - 4REA
SOP : 50 / 100 0.
                                    SOP - 5071000.

SOP - 507100.

SOP - 507100.

CMP-101-100.

CMP-120-100.

CMP-120-100.

CMP-120-100.

CMP-120-100.

CMP-120-100.

CMP-100.

CMP-10
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501
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                                                                               FN:[0+N++62++01]
||| (FM-CY) | 21+21+600
|| 50:11(0+50) + 2++2) /FN
|| 85:5N/S0
                                                                                   XF: FH/E D
P: SO MAREA
                                                                                   50P:50/1000.
                                                                                   SMP:SM/1000.
EMP:EM+100.
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                401
                72
                                                                                   15:58/50
16:58/60
P:50-4868
50P:50/1700.
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                    701
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3 MEPONY TITLE				
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4 DESCRIPTIVE NOTES (Type of report and inclusive dates)				
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Various authors have proposed	methods for	predicting	the plastic behavior	
at the root of a notch under monotonic loa	ding Amon	g these is	a method by Neuber,	
which was originally developed for shear b	ut which ha	s been empi	has been only a lim-	
Neuber's suggestion, to tension and compre	ssion loadi	ng. Inere	one Additional	
ited confirmation of Neuber's method in te confirmation is given in this report for a	range of n	neu specime	iv	
confirmation is given in this report for a	t Tauge of H	oren geome	•••	
The basis of the Neuber approa	ch is the s	uggested ru	ile that the geometric	
mean of the stress and strain concentration	n factors.	when the ro	out of the notch is	
plastic, is given by the theoretical elast	ic concentr	ation facto	or: $(K_a K_c)^{1/2} = K_t$.	
# 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1			0 6	
The Neuber rule is evaluated u	ising an app	ropriate a	nalytic representation	
of the stress-strain curve of AISI 4340 st	eel and pre	dictions of	f maximum notch strain	
versus nominal met section stress are developed. The theoretical results, when com-				
pared with test data from flat notched spe	cimens of t	ne same ma	terial with a range of	
initial elastic concentration factors, sho	w agreement	notch root	etrains can account	
the limitations of the strain gages in measuring the notch root strains can account				
for a major part of the discrepancy. (Aut	.nor j			

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